

**BLANK** = The system does not run a compliance check.

N/A = The system does not run a compliance check because the proposal rule doesn't apply for this funding mechanism.



## AUTOMATED PROPOSAL COMPLIANCE CHECKS PERFORMED BY SYSTEM AS OF APRIL 24TH, 2015.\*

| ///   | /  |         |                        |                |       |              |            |           | 100 100 100             |                     |            |
|---|--|---------|------------------------|----------------|-------|--------------|------------|-----------|-------------------------|---------------------|------------|
| COMPLIANCE CHECK  | FUNDING OPPORTUNITY                                | ERROR / | FUNDING MECHANISM TYPE |                |       |              |            |           |                         |                     |            |
|   | ТҮРЕ   | WARNING | RESEARCH               | RCH RAPID EAGE | EAGER | IDEAS<br>LAB | CONFERENCE | EQUIPMENT | INTERNATIONAL<br>TRAVEL | FACILITY/<br>CENTER | FELLOWSHIP |
| Proposal Section Exists Checks                                  |  |         |                        |                |       |              |            |           |                         |                     |            |
| Project Summary is required.                                    | GPG<br>Program Description<br>Program Announcement | ERROR   | ~                      | ~              | ~     | ~            | ~          | ~         | ~                       | <b>~</b>            | <b>~</b>   |
|   | Program Solicitation                               | ERROR   | ~                      | ~              | ~     | ~            | ~          | ~         | ~                       | ~                   | <b>*</b>   |
| 2. Project Description is required.                             | GPG<br>Program Description<br>Program Announcement | ERROR   | ~                      | ~              | ~     | ~            | ~          | ~         | <b>~</b>                |                     |            |
|   | Program Solicitation                               | ERROR   | ~                      | ~              | ~     | ~            | ~          | ~         | ~                       | ~                   | <b>~</b>   |
| 3. References Cited is required.                                | GPG<br>Program Description<br>Program Announcement | ERROR   | ~                      | ~              | ~     | ~            | N/A        | ~         | N/A                     |                     |            |
|   | Program Solicitation                               | WARNING | ~                      | ~              | ~     |              |            |           |                         |                     |            |
| 4. Biographical Sketch(es) is                                   | GPG<br>Program Description<br>Program Announcement | ERROR   | ~                      | ~              | ~     | ~            | N/A        | ~         | N/A                     |                     |            |
| required.   | Program Solicitation                               | WARNING | ~                      | ~              | ~     |              |            |           |                         |                     |            |
| 5. Primary Budget is required.                                  | GPG<br>Program Description<br>Program Announcement | ERROR   | ~                      | ~              | ~     | ~            | ~          | ~         | ~                       |                     |            |
|   | Program Solicitation                               | ERROR   | <b>*</b>               | <b>\</b>       | ~     | ~            | ~          | ~         | <b>~</b>                | ~                   | <b>*</b>   |
| Budget Justification for the Primary Organization is required.  | GPG<br>Program Description<br>Program Announcement | ERROR   | ~                      | ~              | ~     | ~            | ~          | ~         | ~                       |                     |            |
|   | Program Solicitation                               | WARNING | ~                      | ~              | ~     |              |            |           | ~                       |                     |            |
| 7. Budget Justification for each Subrecipient Organization that | GPG Program Description Program Announcement       | ERROR   | ~                      | ~              | ~     | ~            | ~          | ~         | ~                       |                     |            |
| exists is required.   | Program Solicitation                               | WARNING | ~                      | ~              | ~     |              |            |           | ~                       |                     |            |

| COMPLIANCE CHECK   | FUNDING OPPORTUNITY                                | ERROR / |          |       |             | ı            | FUNDING MEC | CHANISM TY | INTERNATIONAL FACILITY/ |          |            |  |  |  |  |
|--|--|---------|----------|-------|-------------|--------------|-------------|------------|-------------------------|----------|------------|--|--|--|--|
|  | ТҮРЕ   | WARNING | RESEARCH | RAPID | EAGER       | IDEAS<br>LAB | CONFERENCE  | EQUIPMENT  |                         |          | FELLOWSHIP |  |  |  |  |
| Current and Pending Support is required.   | GPG Program Description Program Announcement       | ERROR   | ~        | ~     | <b>~</b>    | ~            | ~           | ~          | ~                       |          |            |  |  |  |  |
|  | Program Solicitation                               | WARNING | ~        | ~     | ~           |              |             |            | ~                       |          |            |  |  |  |  |
| 9. Facilities, Equipment, Other  | GPG<br>Program Description<br>Program Announcement | ERROR   | ~        | ~     | <b>&gt;</b> | <b>~</b>     | N/A         | <b>~</b>   | N/A                     |          |            |  |  |  |  |
| Resources is required.   | Program Solicitation                               | WARNING | ~        | ~     | ~           |              |             |            |                         |          |            |  |  |  |  |
| 10. Mentoring Plan is required.  | GPG<br>Program Description<br>Program Announcement | ERROR   | ~        | ~     | ~           | ~            | ~           | ~          | ~                       | <b>~</b> | ~          |  |  |  |  |
|  | Program Solicitation                               | ERROR   | ~        | ~     | ~           | ~            | ~           | ~          | ~                       | ~        | ~          |  |  |  |  |
| 11. Data Management Plan is required.  | GPG<br>Program Description<br>Program Announcement | ERROR   | ~        | ~     | ~           | ~            | ~           | ~          | ~                       | ~        | ~          |  |  |  |  |
|  | Program Solicitation                               | ERROR   | ~        | ~     | ~           | ~            | ~           | ~          | ~                       | ~        | ~          |  |  |  |  |
| Proposal Section Page Count Chec   | ks   |         |          | ,     |             |              |             |            |                         |          |            |  |  |  |  |
| 12. Project Summary page count   | GPG<br>Program Description<br>Program Announcement | ERROR   | ~        | ~     | ~           | ~            | ~           | ~          | ~                       | <b>~</b> | ~          |  |  |  |  |
| cannot exceed 1 page.  | Program Solicitation                               | ERROR   | ~        | ~     | ~           | ~            | ~           | ~          | ~                       | ~        | ~          |  |  |  |  |
| 13. Project Description page count cannot exceed 5 pages                         | GPG<br>Program Description<br>Program Announcement | ERROR   | N/A      | ~     | N/A         | N/A          | N/A         | N/A        | N/A                     | N/A      | N/A        |  |  |  |  |
| for a RAPID proposal.  | Program Solicitation                               | NONE    |          |       |             |              |             |            |                         |          |            |  |  |  |  |
| 14. Project Description page count cannot exceed 8 pages                         | GPG Program Description Program Announcement       | ERROR   | N/A      | N/A   | ~           | N/A          | N/A         | N/A        | N/A                     | N/A      | N/A        |  |  |  |  |
| for an EAGER proposal.   | Program Solicitation                               | NONE    |          |       |             |              |             |            |                         |          |            |  |  |  |  |
| 15. Project Description page count cannot exceed 15 pages                        | GPG Program Description Program Announcement       | ERROR   | <b>~</b> | N/A   | N/A         | ~            | ~           | ~          | ~                       |          |            |  |  |  |  |
| (excludes RAPID and EAGER).  | Program Solicitation                               | NONE    |          |       |             |              |             |            |                         |          |            |  |  |  |  |
| 16. Budget Justification page count for the Primary Organization cannot exceed 3 | GPG<br>Program Description<br>Program Announcement | ERROR   | ~        | ~     | ~           | ~            | ~           | ~          | ~                       |          |            |  |  |  |  |
| pages.   | Program Solicitation                               | NONE    |          |       |             |              |             |            |                         |          |            |  |  |  |  |

| COMPLIANCE CHECK  | FUNDING OPPORTUNITY                                | ERROR / | FUNDING MECHANISM TYPE |          |          |              |            |           |                         |                     |            |
|---|--|---------|------------------------|----------|----------|--------------|------------|-----------|-------------------------|---------------------|------------|
|   | ТҮРЕ   | WARNING | RESEARCH               | RAPID    | EAGER    | IDEAS<br>LAB | CONFERENCE | EQUIPMENT | INTERNATIONAL<br>TRAVEL | FACILITY/<br>CENTER | FELLOWSHIP |
| 17. Budget Justification page count for each Subrecipient Organization that exists  | GPG Program Description Program Announcement       | ERROR   | ~                      | ~        | ~        | ~            | ~          | <b>~</b>  | <b>~</b>                |                     |            |
| cannot exceed 3 pages.  | Program Solicitation                               | NONE    |                        |          |          |              |            |           |                         |                     |            |
| 18. Mentoring Plan cannot exceed  | GPG<br>Program Description<br>Program Announcement | ERROR   | ~                      | ~        | ~        | ~            | ~          | <b>~</b>  | ~                       |                     |            |
| 1 page.   | Program Solicitation                               | WARNING | ~                      | ~        | ~        | ~            | ~          | ~         | ~                       | ~                   | <b>~</b>   |
| 19. Data Management Plan cannot exceed 2 pages.   | GPG<br>Program Description<br>Program Announcement | ERROR   | ~                      | ~        | ~        | ~            | ~          | ~         | ~                       |                     |            |
| camot exceed 2 pages.   | Program Solicitation                               | WARNING | ~                      | ~        | ~        | ~            | ~          | ~         | ~                       | ~                   | <b>~</b>   |
| Budget Checks   |  |         |                        |          |          |              |            |           |                         |                     |            |
| 20. The Requested Amount on the Remainder of the Cover Sheet cannot exceed \$200K for a   | GPG<br>Program Description<br>Program Announcement | ERROR   | N/A                    | ~        | N/A      | N/A          | N/A        | N/A       | N/A                     | N/A                 | N/A        |
| RAPID proposal.   | Program Solicitation                               | NONE    |                        |          |          |              |            |           |                         |                     |            |
| 21. The Requested Amount on the Remainder of the Cover Sheet cannot exceed \$300K for a   | GPG Program Description Program Announcement       | ERROR   | N/A                    | N/A      | ~        | N/A          | N/A        | N/A       | N/A                     | N/A                 | N/A        |
| EAGER proposal.   | Program Solicitation                               | NONE    |                        |          |          |              |            |           |                         |                     |            |
| 22. The Requested Amount on<br>the Remainder of the Cover<br>Sheet and the total Amount of  | GPG<br>Program Description<br>Program Announcement | WARNING | ~                      | <b>~</b> | <b>~</b> | ~            | ~          | <b>~</b>  | <b>~</b>                |                     |            |
| Request on the budget should match.   | Program Solicitation                               | NONE    |                        |          |          |              |            |           |                         |                     |            |
| 23. If Senior Personnel or Other Personnel funds requested exist on the Primary Budget,   | GPG<br>Program Description<br>Program Announcement | WARNING | ~                      | ~        | ~        | ~            | ~          | <b>~</b>  | <b>~</b>                |                     |            |
| there should not be any blank values in the Primary budget senior or other personnel months fields (calendar, academic, or summer). | Program Solicitation                               | NONE    |                        |          |          |              |            |           |                         |                     |            |

| COMPLIANCE CHECK  | FUNDING OPPORTUNITY                                | ERROR / |          |          |             |              | FUNDING MEC | CHANISM TY | PE                      |                     |            |
|---|--|---------|----------|----------|-------------|--------------|-------------|------------|-------------------------|---------------------|------------|
|   | ТҮРЕ   | WARNING | RESEARCH | RAPID    | EAGER       | IDEAS<br>LAB | CONFERENCE  | EQUIPMENT  | INTERNATIONAL<br>TRAVEL | FACILITY/<br>CENTER | FELLOWSHIP |
| 24. If Senior Personnel or Other Personnel funds requested exist on a Subrecipient Budget, there should not be any blank values in the corresponding Subrecipient budget senior or other personnel months fields (calendar, academic, or summer). | GPG<br>Program Description<br>Program Announcement | WARNING | ~        | ~        | <b>~</b>    | ~            | ~           | ~          | ~                       |                     |            |
|   | Program Solicitation                               | NONE    |          |          |             |              |             |            |                         |                     |            |
| 25. The proposal duration on the<br>Remainder of the Cover Sheet<br>should not exceed 1 year (12  | GPG<br>Program Description<br>Program Announcement | WARNING | N/A      | <b>~</b> | N/A         | N/A          | N/A         | N/A        | N/A                     | N/A                 | N/A        |
| months) for a RAPID proposal.   | Program Solicitation                               | NONE    |          |          |             |              |             |            |                         |                     |            |
| 26. The proposal duration on the Remainder of the Cover Sheet should not exceed 2 years (24 months) for a EAGER proposal.   | GPG<br>Program Description<br>Program Announcement | WARNING | N/A      | N/A      | <b>~</b>    | N/A          | N/A         | N/A        | N/A                     | N/A                 | N/A        |
|   | Program Solicitation                               | NONE    |          |          |             |              |             |            |                         |                     |            |
| 27. If the Primary budget or any Subrecipient Budget contains an amount in  | GPG<br>Program Description<br>Program Announcement | WARNING | ~        | ~        | <b>~</b>    | ~            | <b>~</b>    | ~          | ~                       |                     |            |
| foreign travel line (E2), then the International Activities Country Name(s) checkbox should be checked on the Remainder of the Cover  | Program Solicitation                               | NONE    |          |          |             |              |             |            |                         |                     |            |
| Other Checks  |  |         |          |          |             |              |             |            |                         |                     |            |
| 28. The "International Cooperative Activities Country Name" checkbox was checked on the   | GPG Program Description Program Announcement       | ERROR   | ~        | ~        | <b>&gt;</b> | ~            | <b>~</b>    | <b>~</b>   | ~                       | <b>~</b>            | <b>~</b>   |
| Cover Sheet but no Country Names were entered.  | Program Solicitation                               | ERROR   | <b>~</b> | <b>~</b> | <b>&gt;</b> | ~            | <b>~</b>    | <b>~</b>   | ~                       | <b>~</b>            | ~          |
| 29. Country Names for<br>"International Cooperative<br>Activities Country" were   | GPG<br>Program Description<br>Program Announcement | ERROR   | ~        | <b>~</b> | <b>~</b>    | ~            | ~           | <b>~</b>   | ~                       | <b>~</b>            | ~          |
| entered on the Cover Sheet but the checkbox for "International Cooperative Activities Country Name" was not checked.  | Program Solicitation                               | ERROR   | <b>~</b> | ~        | <b>~</b>    | <b>~</b>     | <b>~</b>    | ~          | ~                       | ~                   | <b>~</b>   |

| COMPLIANCE OUESY   | FUNDING OPPORTUNITY                                | ERROR / |          |       |       |              | FUNDING MECHANISM TYPE |           |                         |                     |            |  |  |
|--|--|---------|----------|-------|-------|--------------|------------------------|-----------|-------------------------|---------------------|------------|--|--|
| COMPLIANCE CHECK   | ТҮРЕ   | WARNING | RESEARCH | RAPID | EAGER | IDEAS<br>LAB | CONFERENCE             | EQUIPMENT | INTERNATIONAL<br>TRAVEL | FACILITY/<br>CENTER | FELLOWSHIP |  |  |
| 30. The "Vertebrate Animals" checkbox was checked on the Cover Sheet but no Institutional Animal Care and Use Committee (IACUC) Approval date was entered. Either the IACUC Approval date or the text "Planned" must be entered. If a proposer enters a date for the IACUC Approval date, then they must also enter the Vertebrate Welfare Assurance Number. | GPG Program Description Program Announcement       | ERROR   | ~        | ~     | ~     | ~            | ~                      | ~         | ~                       | <b>~</b>            | <b>~</b>   |  |  |
|  | Program Solicitation                               | ERROR   | ~        | ~     | ~     | ~            | ~                      | ~         | •                       | ~                   | <b>~</b>   |  |  |
| 31. The "Human Subjects" checkbox was checked on the Cover Sheet but no  | GPG<br>Program Description<br>Program Announcement | ERROR   | ~        | ~     | ~     | ~            | ~                      | ~         | ~                       | <b>~</b>            | <b>~</b>   |  |  |
| Institutional Review Board (IRB) Approval Date was entered and no Exemption Subsection was entered.  | Program Solicitation                               | ERROR   | ~        | ~     | ~     | ~            | ~                      | ~         | ~                       | <b>~</b>            | <b>~</b>   |  |  |
| 32. The International Activities Country Name checkbox should be checked on the Remainder of the Cover Sheet if the Primary Place of Performance on the Cover Sheet is outside the U.S. (excludes U.S. Possessions).   | GPG<br>Program Description<br>Program Announcement | WARNING | ~        | ~     | ~     | ~            | ~                      | ~         | ~                       |                     |            |  |  |
|  | Program Solicitation                               | NONE    |          |       |       |              |                        |           |                         |                     |            |  |  |

## Notes:

Cases which currently do not trigger an Error or Warning may do so in the future as additional automated compliance checks are added to FastLane.

Some checks are not enforced for separately submitted Collaborative Non-Lead Proposals as stated by NSF Policy requirements.

Checks are not enforced for Preliminary Proposals, Award Supplements and Letter of Intent submissions.

Compliance checks are triggered when users "Check" the proposal, "Allow SRO access" or "Submit" to NSF.

Error - Checks triggering an error will result in a hard stop and prohibit proposal submission to NSF.

Warning - Checks triggering a warning will allow proposal submission to NSF.

<sup>\*</sup> List provides current checks performed by system as of the April 2015 Release.

Not all NSF policy requirements are currently enforced by automated compliance checks.