

## Principal Investigator Certification and Compliance Agreement

I certify that the information in this proposal is accurate and complete to the best of my knowledge. I certify that to the best of my knowledge, all required financial disclosures were made; and I will comply with any conditions or restrictions imposed by the institution to manage, reduce, or eliminate conflicts of interest. I also certify that 1) this research does not constitute a conflict of interest under the University's policies, or if it does, such conflict has been officially disclosed; 2) I am not delinquent on any federal debt; 3) I am not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from current transactions by any federal agency; 4) I have not and will not lobby any federal agency on behalf of this award; 5) I will abide by Southeastern's Drug Free Workplace policy; 6) I will abide by the research policies of Southeastern; 7) I will be bound by the terms and conditions of the external grant or contract that supports this proposed activity and, in consideration of the information and facilities available to me by Southeastern or the outside sponsor, will abide with the terms and conditions of Southeastern's patent and copyright policies; 8) If this project involves any Institutional Oversight and Compliance Protocols (IRB, IACUC, etc.) that the proposal has been reviewed, approved or is pending approval by the appropriate committee; 9) If this project requires office, laboratory, classroom or other space beyond the current allocation, I have notified the appropriate offices (facilities, department, etc.); 10) I will provide the appropriate training and oversight in the responsible and ethical conduct of research to persons working on this project (students, postdoc, etc.) and 11) The University approved proposal was the proposal submitted to the soliciting agency.

As Principal Investigator/Project Director, I will complete all required reports (interim, annual, final) regarding the project/program in a timely manner. I will be responsible for noting due dates and will provide all reports to the Office of Sponsored Research and Programs for review at least two weeks prior to the deadline. I will submit reports only after receiving approval by OSRP.

Because all financial reports are completed and submitted by Grants Accounting, I will work closely with them to provide information on all personnel, travel, sub-contracts, purchases, etc. so that the reports reflect accurate and up-to-date information. I will also report all match information (cash and in-kind) to Grants Accounting monthly and will make certain that this match is being properly documented with original signatures and support materials.

As the Principal Investigator/Project Director, I will ensure that all project purchases are in accordance with the approved award budget and that all materials purchased will be used only for project award activities.

I understand that failure to abide by these procedures could affect approval to submit future proposals.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name